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Ellie A Shaw  
2425 Holt Street  
Vienna VA 22180

Plan Number: 86G  
Account Number: 86G-XXX-XX-5057  
Tax ID Number: XXX-XX-5057

*Important income tax return document enclosed. For tax advice, please consult your tax advisor.*

*The enclosed applies to transactions in your company's Morgan Stanley at Work stock plan account. For a complete Historical Transaction Summary please refer to the Document Library on <https://atwork.morganstanley.com>. You may also reference our Tax Planning Guide online.*

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**Copy B for Recipient**

Account number: 86G-XXX-XX-5057

SS # XXX-XX-5057

Ellie A Shaw  
2425 Holt Street  
Vienna VA 22180

Payer

Morgan Stanley Capital Management, LLC.  
MORGAN STANLEY SMITH BARNEY, LLC  
ONE NEW YORK PLAZA, 7TH FLOOR  
NEW YORK NY 10004

TAX ID NUMBER: 11-3658445

QUESTIONS CALL: 1-877-772-1099

*This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.*

IRS 2025 FORM 1099-DIV — DIVIDENDS AND DISTRIBUTIONS		
Box	OMB NO. 1545-0110	
1a.	TOTAL ORDINARY DIVIDENDS	\$13,441.11
1b.	QUALIFIED DIVIDENDS	\$13,441.11
2a.	TOTAL CAPITAL GAIN DISTRIBUTIONS	\$0.00
2b.	UNRECAPTURED SEC. 1250 GAIN	\$0.00
2c.	SECTION 1202 GAIN	\$0.00
2d.	COLLECTIBLES (28%) GAIN	\$0.00
3.	NONDIVIDEND DISTRIBUTIONS	\$0.00
4.	<b>FEDERAL INCOME TAX WITHHELD</b>	<b>\$0.00</b>
5.	SECTION 199A DIVIDENDS	\$0.00
7.	FOREIGN TAX PAID	\$0.00
8.	FOREIGN COUNTRY OR U.S. POSSESSION	
9.	CASH LIQUIDATION DISTRIBUTIONS	\$0.00
10.	NONCASH LIQUIDATION DISTRIBUTIONS	\$0.00

IRS 2025 FORM 1099-B — PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS		
Box	OMB NO. 1545-0715	
1d.	PROCEEDS	\$0.00
	COVERED SECURITIES	\$0.00
	NONCOVERED SECURITIES	\$0.00
1e.	COST OR OTHER BASIS OF COVERED SECURITIES	\$0.00
1g.	WASH SALE LOSS DISALLOWED	\$0.00
4.	<b>FEDERAL INCOME TAX WITHHELD</b>	<b>\$0.00</b>

See instructions on reverse side for further information.

# Instructions for Recipient Form 1099-DIV

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

**Account number.** This form may show an account or other unique number the payer assigned to distinguish your account.

**Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Box 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Box 2d.** Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Box 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Box 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Box 8.** This box should be left blank if a RIC reported the foreign tax shown in box 7.

**Boxes 9 and 10.** Show cash and noncash liquidation distributions.

**Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the current General Instructions for Certain Information Returns.

**Free File Program.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

# Form 1099-B

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** This form may show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** This form shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Box 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Box 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.

**Box 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 6.** If you reduce gross proceeds by option premiums, check the second box in box 6. Otherwise, check the first box.

**Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**NOTE: If shares sold were from your Company's Equity Compensation Plan, the Cost Basis for those shares may need to be adjusted on Form 8949 to include any compensation income associated with the transaction. Please seek advice from a tax advisor to ensure any applicable compensation/income is included when preparing your tax return.**

**IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS**

Account number: 86G-XXX-XX-5057

SS # XXX-XX-5057

**Supplemental Details\* – Ordinary Dividends**

Description	Security Identifier	Payment Date	Ordinary Dividends	Qualified Dividends	Federal Income Tax Withheld	Foreign Tax Paid	Foreign country or U.S. possession	State Tax Withheld
AMERICAN EXPRESS CO	025816109	10-Feb-25	\$2,934.22	\$2,934.22	\$0.00	\$0.00		\$0.00
AMERICAN EXPRESS CO	025816109	09-May-25	\$3,492.61	\$3,492.61	\$0.00	\$0.00		\$0.00
AMERICAN EXPRESS CO	025816109	08-Aug-25	\$3,502.28	\$3,502.28	\$0.00	\$0.00		\$0.00
AMERICAN EXPRESS CO	025816109	10-Nov-25	\$3,512.00	\$3,512.00	\$0.00	\$0.00		\$0.00
<b>Totals</b>			<b>\$13,441.11</b>	<b>\$13,441.11</b>	<b>\$0.00</b>	<b>\$0.00</b>		

\* Supplemental details are not reported to the IRS.

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